

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
110357-029

In Re Application Of: John D. Anderson et al.



Serial No.  
10/644,294

Filing Date  
August 20, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title: SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR RESTRAINT SYSTEM

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR RESTRAINT SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on November 14, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature of Person Mailing Correspondence

Renee M. Street

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Adam H. Masia

Signature

Dated: November 14, 2003

Adam H. Masia

Reg. No. 35,602

Bell, Boyd & Lloyd, LLC

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Chicago, Illinois 60690-1135

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John D. Anderson, et al.  
Appl. No.: 10/644,294  
Conf. No.: Unknown  
Filed: August 20, 2003  
Title: SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR  
RESTRAINT SYSTEM  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 0110357-029

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS

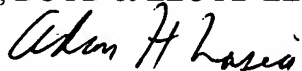
<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
1,254,920	January 29, 1918	Mathias
3,140,850	July 14, 1964	Packard
3,157,133	November 17, 1964	Wojcikowski
3,181,886	May 4, 1965	Blunden et al.
3,972,500	August 3, 1976	Johnson et al.
4,668,140	May 26, 1987	Blunden
4,674,929	June 23, 1987	Blunden
4,679,974	July 14, 1987	Blunden
4,786,223	November 22, 1988	Crissy et al.
4,836,726	June 6, 1989	Robertson et al.
4,838,743	June 13, 1989	Blunden et al.
4,875,813	October 24, 1989	Moyer et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,875,813	October 24, 1989	Moyer et al.
4,960,353	October 2, 1990	Thorndyke
4,979,856	December 25, 1990	Blunden et al.
5,011,347	April 30, 1991	Bullock
5,106,245	April 21, 1992	Fritz et al.
5,108,237	April 28, 1992	Zankich
5,134,868	August 4, 1992	Bethards
5,137,405	August 11, 1992	Klein
5,160,223	November 3, 1992	Seitz
5,294,211	March 15, 1994	Niimura
5,302,063	April 12, 1994	Winsor
5,312,213	May 17, 1994	Winsor
5,316,421	May 31, 1994	Bullock et al.
5,465,814	November 14, 1995	Ziaylek
5,586,849	December 24, 1996	Kissel et al.
5,674,033	October 7, 1997	Ruegg
5,775,858	July 7, 1998	Bacon
6,050,137	April 18, 2000	Merrill, Sr.
6,139,231	October 31, 2000	Kissel
6,164,882	December 26, 2000	Selle
6,171,037 B1	January 9, 2001	Andre
6,238,153 B1	May 29, 2001	Karrer
6,276,496 B1	August 21, 2001	Hageman et al.
6,290,029 B1	September 18, 2001	Gubler et al.
6,478,525 B2	November 12, 2002	Hagemann et al.
6,524,053 B2	February 25, 2003	Hahn et al.
6,530,729 B2	March 11, 2003	Tatina
6,585,211 B1	July 1, 2003	Hageman et al.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Dated: November 14, 2003



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.  
110357-029

Application No.  
10/644,294

Applicant  
John D. Anderson et al.

Filing Date  
August 20, 2003

Group  
Unknown

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	1,254,920	January 29, 1918	Mathias			
	3,140,850	July 14, 1964	Packard			
	3,157,133	November 17, 1964	Wojcikowski			
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	5,160,223	November 3, 1992	Seitz			
	5,294,211	March 15, 1994	Niimura			
	5,302,063	April 12, 1994	Winsor			
	5,312,213	May 17, 1994	Winsor			
	5,316,421	May 31, 1994	Bullock et al.			
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	6,171,037 B1	January 9, 2001	Andre			
	6,238,153 B1	May 29, 2001	Karrer			

Examiner's:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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(Use several sheets if necessary)

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	6,530,729 B2	March 11, 2003	Tatina			
	6,585,211 B1	July 1, 2003	Hageman et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials	

**Examiner:**

**Date Considered:**

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